

JTV

Appl. No.

09/807,402

**Applicant** 

Hofert et al.

Filed

August 3, 2001

Title

COMBINATION OF GESTAGENS AND SUGARS

TC/A.U.

1623

Examiner

L. C. Maier

Docket No.

SCH-1808

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### SUPPLEMENTAL REPLY

Sir:

Further to the Reply filed on September 27, 2004, attached is the declaration discussed therein.

The Commissioner is hereby authorized to charge any fees associated with this response or credit any overpayment to Deposit Account No. 13-3402.

Respectfully submitted,

Csaba Henter, Reg. No. 50,908 Anthony J. Zelano, Reg. No. 27,969 Attorneys for Applicant(s)

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Date: November 4, 2004

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Appl. No. : 09/807,402 Applicant : Hofert et al. Filed : August 3, 2001

Title : COMBINATION OF GESTAGENS AND SUGARS

TC/A.U. : 1623

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### **DECLARATION UNDER 37 C.F.R. §1.132**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Thomas Backensfeld, being duly warned, declare that:

I am a listed inventor of the above-captioned application and am, therefore, familiar with the invention described therein and with the grounds for rejection made against the claims of the application in the Office Action mailed January 26, 2004, from the U.S. Patent and Trademark Office.

My expertise for making this declaration is demonstrated in the attached CV. If a patent issues from this application and if it is decided by the assignee to pursue a commercial product falling under its claims and if such a commercial product is approved by FDA and sold in the US, then under German law, I and the other inventors will receive some income derived from such sales.

The following experiments were conducted by me or under my supervision.

Compounds of formula I of the claims are subject to acyloin rearrangement during storage, in which case variants occur as discussed in the specification of this application. ZK 187226 is a compound of the invention and has the chemical structure illustrated on page 2. (This compound is a tautomer existing in the (H, OH) or (=O) forms, as shown.) Page 2 also illustrates acyloin rearranged variants of the compound ZK 187226, identified as ZK 187225, ZK 187928 and ZK 187929.

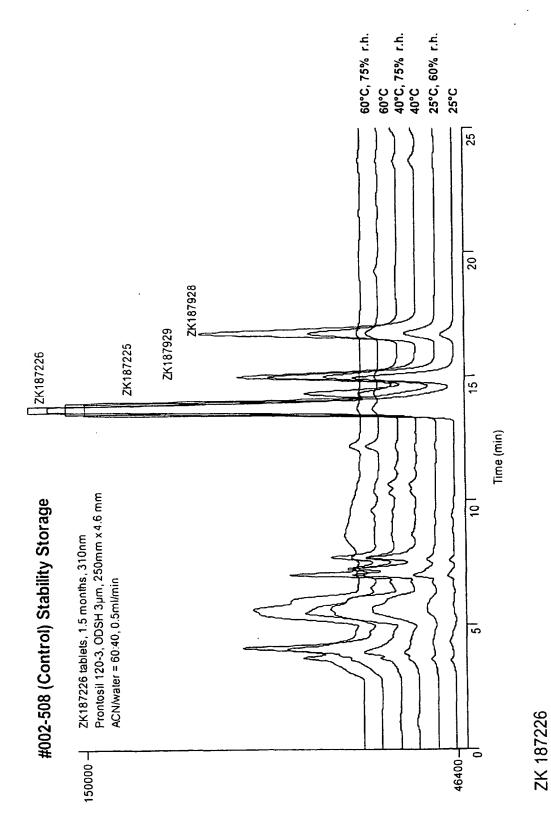
Side-by-side storage stability comparative tests of tablets containing ZK 187226 are conducted without and with the presence of β-cyclodextrin in the tablets. The results are depicted in HPLC chromatograms on pages 3 and 4, respectively, and are identified as (Control) and (β-CD-Clathrate), respectively. The tablets were stored for 1,5 months under a variety of conditions identified on the chromatograms, i.e., -18°C; 25°C; 25°C, 60% r.h.; etc. (where "r.h." means relative humidity).

## **Decomposition Pathway**

# Decomposition Pathway: Acyloin Rearrangement

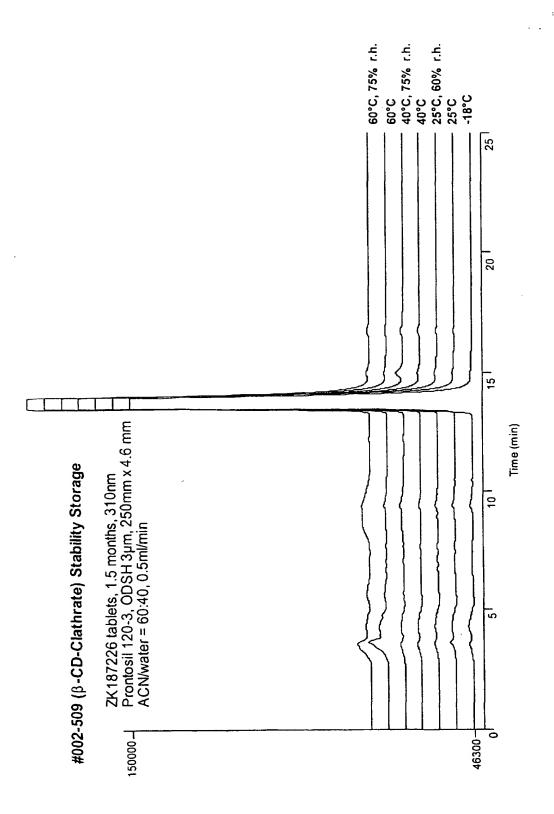
### **HPLC Chromatogram**

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### **HPLC Chromatogram**



ZK 187226

The chromatogram on page 3, where no β-cyclodextrin is present in the tablets, shows a number of peaks for compounds other than ZK 187226, including significant peaks for each of the acyloin rearranged variants of the compound ZK 187226, especially at higher temperatures and relative humidity.

The chromatogram on page 4, where  $\beta$ -cyclodextrin is present in the tablets, shows no significant peaks for compounds other than ZK 187226, meaning that acyloin rearrangement of ZK 187226 was prevented by the addition of  $\beta$ -cyclodextrin to the tablets.

The test results demonstrate that compounds of formula I in tablets according to the claims are significantly more stable than tablets that do not contain β-cyclodextrin and that β-cyclodextrin stabilized ZK 187226 from degradation through acyloin rearrangement, which was not expected from the prior art. Acyloin rearrangement represents a significant degradation mechanism as significant size peaks are present for the acyloin rearranged variants of ZK 187226. Accordingly, the results are significant and would not have been expected by those in this field from the disclosure of Backensfeld or otherwise.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Thomas Backensfeld

Date

Claus Reca 10/25/2004

### Curriculum Vitae Dr. Thomas Backensfeld

### Personal

Name:

Dr. Thomas Backensfeld

Place of residence:

D - 13127 Berlin

Date of birth:

22.12.1959

**Marital Status:** 

married, 2 children

Nationality:

German

High School:

1979

Military Service:

1979 - 1980

Occupation:

**Pharmacist** 

Language:

German, English

(French and Japanese basics)

### **Professional**

1980 - 1984:

University of Münster, Germany - Study of Pharmaceutical Sciences

1985:

Practical education in pharmacy (public pharmacy; hospital

pharmacy)

1886 - 1990:

University of Kiel, Germany - PhD thesis in Pharmaceutics and

training of students

1990, Nov. 01:

Schering AG, Berlin

1990 - 1992:

Head of analytical working group in 'Pharma Labor' department:

Analytics of all kind of dosage forms

1992 - 2001:

Head of formulation working group in 'Oral Dosage Formulation'

department: Preformulation, Formulation and Manufacturing of all

kind of oral dosage forms

1996:

Pharmacuetical Development - group leader in formulation

development group

2001:

Head of formulation development group in 'Oral Dosage Formulation'

department

2002:

Head of 'Production of Highly Potent Drugs / Bulk Weighing'

department: Plant manager